

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

(21) Application No 9003483.6

(22) Date of filing 15.02.1990

(30) Priority data

(31) 335203

(32) 07.04.1989

(33) US

(71) Applicant
Intel Corporation

(Incorporated in the USA - Delaware)

3065 Bowers Avenue, Santa Clara, California 95051,
United States of America

(72) Inventors
Beatrice P. Fu
Benny Eitan

(74) Agent and/or Address for Service
Potts Kerr and Co
15 Hamilton Square, Birkenhead, Merseyside,
L41 6BR, United Kingdom

(51) INT CL⁵
G06F 9/06

(52) UK CL (Edition K)
G4A AFP APV

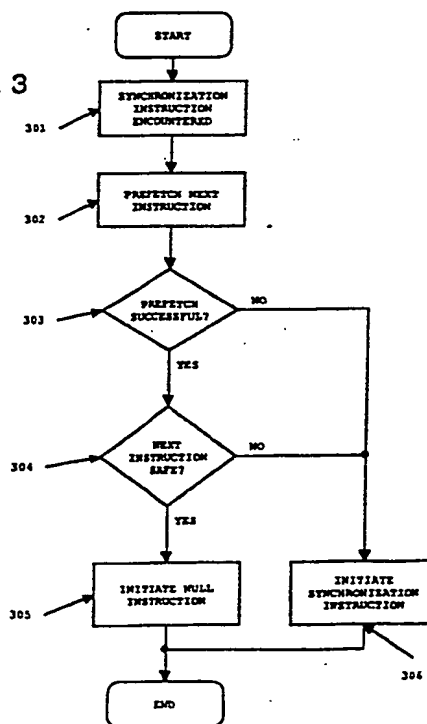
(56) Documents cited
EP 0211384 A2

(58) Field of search
UK CL (Edition J) G4A AFP AMP APP APV
INT CL⁴ G06F

(54) Conditional execution speed-up on synchronizing instructions

(57) A method for conditional speed-up of execution of an instruction sequence having synchronization instructions (e.g. in a computer system in which compatibility with instruction sequences written for the Intel 80386 or earlier processors is desirable) involves replacement of a synchronization (WAIT) instruction with a null instruction in cases where the WAIT instruction is followed by a floating point instruction including a WAIT as an integral part thereof.

FIG. 3



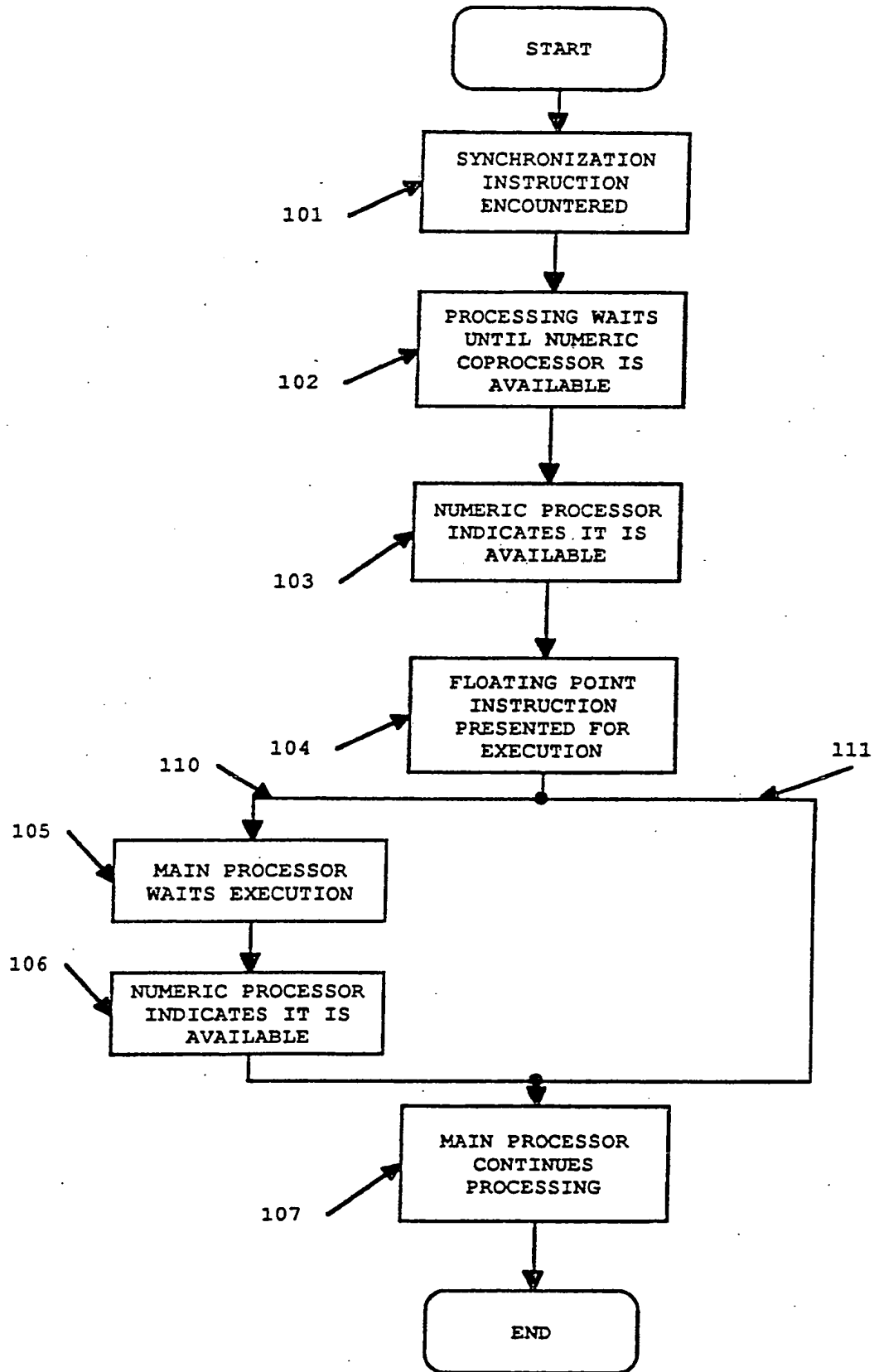


FIG. 1 (PRIOR ART)

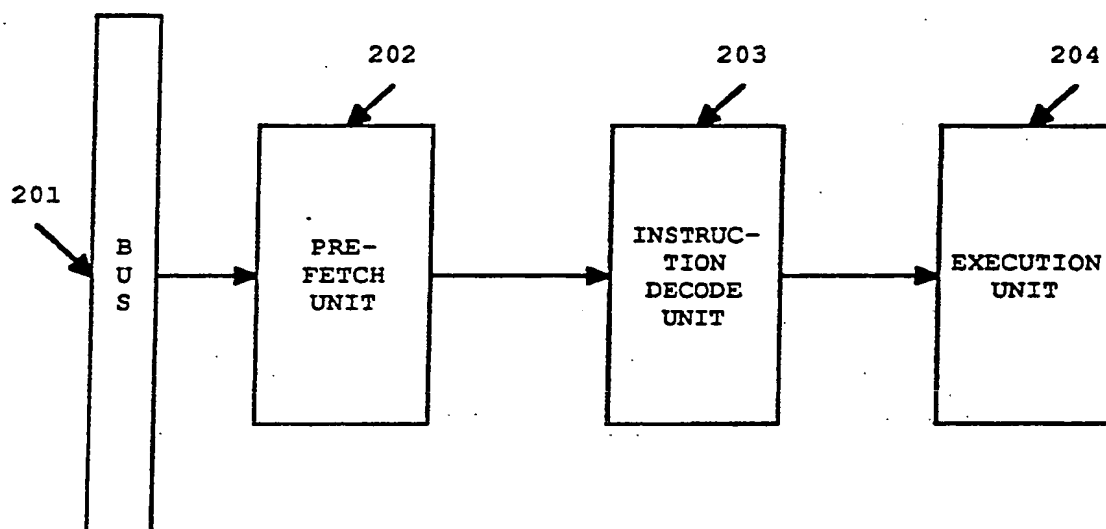


FIG. 2

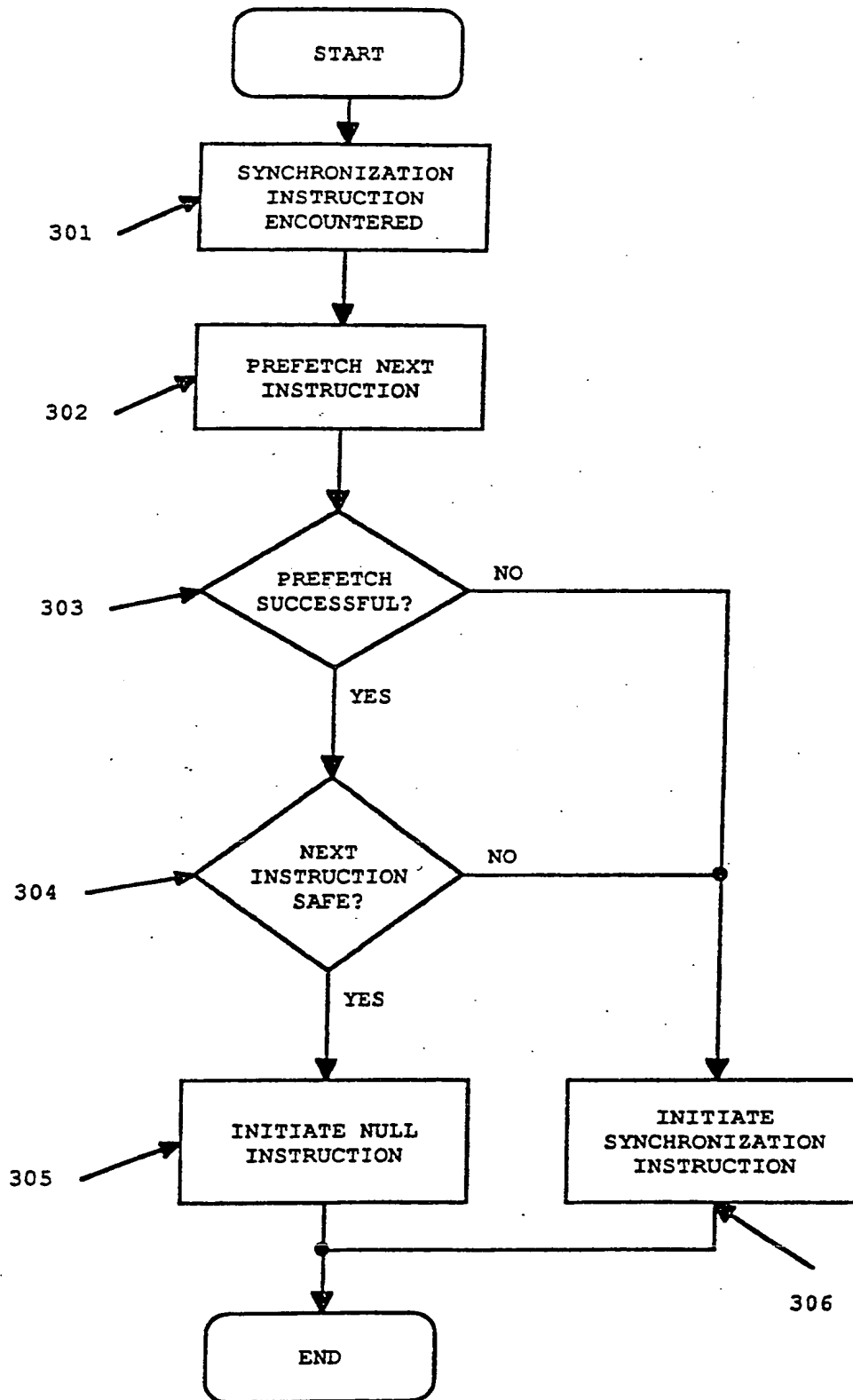


FIG. 3

CONDITIONAL EXECUTION SPEED-UP ON SYNCHRONIZING INSTRUCTIONS.

BACKGROUND OF THE INVENTION.

1. Field of the invention.

The present invention relates to the field of
5 synchronization control in computer systems.

2. Description of Related Art.

The closest art known to the Applicant is embodied in
the Intel 80386tm ('386tm) microprocessor manufactured by
10 Intel Corporation of Santa Clara, California.

In general, computer systems utilizing the '386
microprocessor embody a number of components, such as the
'386 microprocessor, a math coprocessor (typically either
the 80287 or 80387 numeric coprocessor), etc. In computer
15 systems utilizing the '386 processor, the general purpose
microprocessor (i.e., the '386) and the math coprocessor
are separate, discrete components.

The architecture of the '386, as in many general
purpose processors, includes synchronization instructions;
20 such synchronization instruction allow for synchronization
of processing between components in a computer system
utilizing the '386. Further, synchronization instruction
may provide means for initiating error checking.

For example, the WAIT instruction in the '386 instruction set causes the '386 to wait execution until a numeric coprocessor (such as the 80287 or 80387) has finished a task. In general, the numeric coprocessor
5 activates a BUSY pin. When the BUSY pin is active (brought low in the '386), the WAIT instruction suspends execution of '386 instructions until the BUSY pin is inactivated (brought high). In this way, processing on the '386 microprocessor may be suspended to guarantee that a numeric
10 instruction being processed by the numeric coprocessor has completed execution.

It is desired to develop a method for removing (or ignoring during execution) synchronization instructions from an instruction sequence in computer systems.

15 Specifically, it is desired to develop a system for speeding up the execution of an instruction sequence by removing, under certain conditions, wait states created by synchronization instructions.

SUMMARY OF THE INVENTION

A method for speeding up the execution of an instruction sequence in a computer system implementing functions of a general purpose processing unit and a special purpose processing unit under common control is described. The method eliminates certain created by synchronization instructions.

The method involves the steps of detecting that a synchronization instruction has been encountered during the execution of an instruction sequence and replacing or substituting for the synchronization instruction a null instruction. In the preferred embodiment, a one clock cycle no-operation instruction is utilized as the null instruction.

The microprocessor of the preferred embodiment comprises an instruction prefetch unit for fetching instructions prior to the execution of a previous instruction. Such prefetch units are utilized in computer systems to increase the operating speed of a computer system by ensuring a queue of instructions is available for an instruction decode and instruction execution unit.

In the present invention, after encountering a synchronization instruction, but before execution of the synchronization instruction, the next instruction ("second

instruction") is fetched by the prefetch unit. If the instruction is one of a predetermined set of instructions, the synchronization instruction is not executed and a null instruction is executed in its place. If the instruction
5 is not one of the predetermined set of instructions, the synchronization instruction is executed. (In the preferred embodiment, the second instruction may not be prefetched in certain circumstances for a variety of reasons. If the second instruction is not prefetched, the synchronization
10 instruction is executed in the normal execution sequence.)

In the preferred embodiment, many floating point instructions inherently provide for routine synchronization. The predetermined set of instructions comprises the set of such floating point instructions of
15 the instruction set of the microprocessor of the preferred embodiment which inherently provide for routine synchronization; floating point instructions which do not inherently provide for such synchronization are not included in the predetermined set. Further, non-floating
20 point instructions are not included in the predetermined set.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a flow diagram illustrating a known method of implementing synchronizing control flow instructions.

5

Figure 2 is a block diagram of portions of a computer system of the present invention.

Figure 3 is a flow diagram illustrating a method of
10 the present invention.

DESCRIPTION OF THE PREFERRED EMBODIMENT

A method for processing instructions in a computer system is described. In the following description, numerous specific details are set forth such as specific instructions, etc., in order to provide a thorough understanding of the present invention. It will be obvious, however, to one skilled in the art that the present invention may be practiced without these specific details. In other instances, well-known circuits, structures and techniques have not been shown in detail in order not to unnecessarily obscure the present invention.

OVERVIEW OF THE PRESENT INVENTION

The preferred embodiment of the present invention is proposed for use in the next generation of microprocessor in the Intel 8086 family, commonly referred to as the 80486tm microprocessor ('486tm), manufactured by Intel Corporation of Santa Clara, California.

The proposed '486 microprocessor implements the functions of a general purpose microprocessor (such as the functions of the Intel 80386 microprocessor) and the functions of a numeric coprocessor (such as the Intel 80387 numeric coprocessor) in a single component or "chip".

It is desired to ensure that the '486 microprocessor is capable of supporting instruction sequences written for execution on the 80386, including instruction sequences which utilize a numeric coprocessor such as the 80387.

- 5 Such instruction sequences often include synchronization instructions for synchronizing execution of the 80386 and the 80387.

DESCRIPTION OF 80386 SYNCHRONIZATION

- Figure 1 is a flow diagram illustrating use of such
10 synchronization instructions in an instruction sequence. A typical instruction sequence may include a synchronization instruction which causes the main processor (e.g., the '386) to wait further processing until the numeric coprocessor is available to execute another instruction,
15 block 101 and block 102.

- Typically, the synchronization instruction is a WAIT instruction. The synchronization instruction is placed in the instruction sequence to ensure the numeric coprocessor has completed execution of any prior floating point
20 instruction before presenting the next floating point instruction for execution. (As discussed in the Background of the Invention section, the 80387 asserts a BUSY signal while processing an instruction. After completing processing of the instruction, the 80387 deasserts the BUSY

pin. The 80386 will suspend execution during the time the BUSY pin is asserted if a WAIT instruction is executed.)

It is worth noting that in many 80386/80387 implementations, programmers do not have to code WAIT instructions in instruction sequences. Many assemblers for the 80386 will automatically encode the WAIT instructions in the instruction sequence.

After the numeric coprocessor indicates it is available for processing of the next instruction (by deasserting the BUSY pin), block 103, the next instruction in the instruction sequence is presented for execution, block 104. (Generally, the next instruction is a floating point instruction; however, in certain cases, it may be a non-floating point instruction.)

Certain instructions in the '386 instruction set include a WAIT state as an integral part of the instruction. In such cases, branch 110, the main processor waits execution of the next instruction in the instruction sequence until the numeric processor signals it has completed processing, block 105 and block 106. Typically, floating point instructions include a WAIT state as an integral part of the instruction where the instruction will affect memory or registers which may also be effected by

instructions executing on the general purpose microprocessor.

In other cases, the floating point instruction do not include a WAIT state as an integral part of the instruction. In such cases, the main processor does not wait for the numeric coprocessor to complete processing of the instruction, branch 111. As examples, this second type of instructions include the instructions listed in TABLE I, below:

TABLE I

<u>Opcode</u>	<u>Function</u>
(1) FSTENV	(Store the coprocessor's environment);
(2) FSTCW	(Store the coprocessor's control word);
(3) FSAVE	(Save the coprocessor's state);
(4) FSTSW	(Store the coprocessor's status word);
(5) FCLEX	(Clear the coprocessor's exception flags);
(6) FINIT	(Initialize the coprocessor); and
(7) FSETPM	(Place the coprocessor in protected mode).
(8) FENI	(Enable interrupt)
(9) FDIS	(Disable interrupt)

5

(Note that the codes listed in Table I are operation codes ("opcodes") in the '386 instruction set, not mnemonics.)

In the case of either type of instruction, the main processor will begin execution of the next instruction in the instruction sequence at some point in time, block 107.

Further information on the 80386/80387 processors may
5 be found with reference to Chris H. Pappas & William H.
Murray, III, 80386 Microprocessor Handbook, Osborne
McGraw-Hill, 1988.

GENERAL 80486 ARCHITECTURE OVERVIEW

10 The proposed 80486 microprocessor comprises a general purpose microprocessor and a numeric coprocessor integrated on a single chip. The proposed '486 microprocessor further comprises instruction prefetch circuitry and instruction decode circuitry.

15 Prefetch Circuitry

The prefetch circuitry is described with reference to Figure 2. A prefetch unit 202 is coupled with a bus 201. This allows the prefetch unit to fetch instructions for processing. The prefetch unit 202 is further coupled with
20 an instruction decode unit 203. The instruction decode unit 203 is provided with instructions for decoding by the prefetch unit 202. Finally, the instruction decode unit 203 is coupled with an execution unit 204 for providing microcoded instructions for execution.

The prefetch unit 202 requests an instruction and stores the instruction in a prefetch queue until the instruction decode 203 is available to process the instruction and translate the instruction into microcode.

- 5 An instruction queue in the instruction decode unit 203 holds the microcoded instructions until they are executed by an execution unit 204.

Requirement for Synchronization Instructions
in the Preferred Embodiment

- 10 As discussed above, in the prior art it is known to include synchronization instructions in instruction sequences to ensure proper execution. The present invention teaches that in certain cases, synchronization instructions are not necessary for ensuring the proper
15 execution of the instruction sequence.

- Specifically, the present invention teaches that synchronization instructions may not be required depending on the instruction immediately following a synchronization instruction. If the instruction immediately following the
20 synchronization instruction includes a WAIT state as an integral part of the instruction, it has been observed that coding of a WAIT instruction previous to such an instruction is not necessary.

As one objective the present invention, a computer system is to be developed which ensures compatability with instruction sequences written for systems such as the '386. As a second objective, it is desired to increase the performance of the computer system of the present invention by effectively removing certain synchronization instructions from such instruction sequences.

It is worth noting that, in the system of the preferred embodiment, a WAIT instruction requires a minimum of 3 clock cycles to complete. As will be detailed below, the system of the present invention effectively removes from execution certain WAIT instructions and replaces the WAIT instruction with a null operation. In the preferred embodiment, the null operation requires 1 clock cycle for execution.

METHOD OF THE PREFERRED EMBODIMENT

A method utilized by the preferred embodiment to improve execution time of an instruction sequence by removing selected synchronization instructions is described with reference to the flow diagram of Figure 3.

In an instruction sequence, a synchronization instruction may be encountered, block 301. As stated previously, instruction sequences commonly utilize the WAIT

instruction of the instruction set for the 8086 family of microprocessors as a synchronization instruction .

As described previously, the WAIT instruction is used to cause the general purpose processor portion of the computer system to wait, or suspend, execution of
5 instructions until the numeric processor portion of the computer system has finished a task.

The system of the present invention comprises means for allowing the next instruction in the instruction
10 sequence to be fetched and examined prior to executing the next instruction in the instruction sequence, block 202. In the preferred embodiment, the prefetch unit waits until the bus is available and fetches the next instruction and stores it in a prefetch queue. In certain cases, such as
15 when the bus is servicing higher priority requests, prefetching does not occur.

Assuming the prefetch was successful, block 103, the present invention teaches determining whether the instruction fetched is one of a set of instruction for
20 which the previous synchronization is not necessary. It has been determined that synchronization instructions are not necessary in instruction sequences before certain so-called "safe" instructions.

In the preferred embodiment, these "safe" instructions include instructions to be executed by the numeric processor which include a WAIT state as an integral part of the instruction. (In general, in the instruction set of the processor of the preferred embodiment, these
5 instruction are the floating point instructions, such as F2XM1, FABS, FADD/FADDP, FBLD, FBSTP, etc.).

The predetermined set of "safe" instructions in the preferred embodiment does not include certain floating
10 point instructions which do not have synchronization built into the instruction. Examples of these instructions are listed with reference to TABLE I, above. Further, the predetermined set of safe instructions of the preferred embodiment does not include any instructions to be executed
15 by the general purpose processor (e.g., non-floating point instructions such as LOOP, LSL, MOV, MUL, etc.).

By way of example, the instruction sequence detailed in TABLE II may have been written for execution on a computer system utilizing an 80386:

TABLE II

<u>Instruction #</u>	<u>Instruction</u>
(1)	WAIT
(2)	FINIT
(3)	WAIT
(4)	FILD Word Ptr (0006)
(5)	WAIT
(6)	FLDPI
(7)	WAIT
(8)	FDIV ST,ST(1)

Synchronization instructions numbered 3, 5 and 7 in TABLE II are not necessary for proper execution of the instruction sequence on a computer system embodying the '486 processor. Therefore, the method of the present invention replaces the synchronization instructions (WAIT instructions) with a null instruction, block 305. The null instruction requires one clock cycle for execution as opposed to a minimum of three clock cycles for the WAIT instruction.

10 Synchronization instruction numbered 1 in TABLE II is required by the computer system of the present invention and, therefore, is not replaced by a null instruction. This synchronization instruction is required because the FINIT instruction does not include a WAIT state as an
15 integral part of the instruction.

In general, in the system of the present invention, synchronization instructions preceding floating point

instructions which provide routine synchronization are replaced with a null instruction. The null instruction does not perform any operation; rather it only affects the (E)IP register (instruction pointer register).

- 5 In other cases, such as synchronization instructions preceding non-floating point instructions and synchronization instruction preceding floating point instructions not providing routine synchronization, the

synchronization instruction are executed in the normal course of the instruction sequence, block 306.

Thus, a method for avoiding time penalties associated
5 with synchronization instructions is described. Although
the present invention has been described with specific
reference to a number of details of the preferred
embodiment, it will be obvious that a number of
modifications and variations may be employed without
10 departure from the scope and spirit of the present
invention. Accordingly, all such variations and
modifications are included within the intended scope of the
invention as defined by the following claims.

CLAIMS

1. A method for processing an instruction sequence in a computer system comprising the steps of:

(a) encountering a synchronization instruction in said
5 instruction sequence;

(b) replacing said synchronization instruction with a null operation instruction.

2. The method as recited by Claim 1 further
10 comprising the steps:

(c) fetching a second instruction in said instruction sequence subsequent to receiving said synchronization instruction;

(d) examining said second instruction to determine if
15 said second instruction is one of a predetermined set of instructions;

(e) if said second instruction is one of said predetermined set of instructions, performing step (b);

(f) if said second instruction is not one of said
20 predetermined set of instructions, executing said synchronization instruction.

3. The method as recited by Claim 1 wherein said null operation instruction requires one clock cycle for execution.

5 4. The method as recited by Claim 2 wherein said predetermined set of instructions comprises floating point instructions with routine synchronization.

10 5. The method as recited by Claim 4 wherein said synchronization instruction is a WAIT instruction.

6. A method for processing an instruction sequence in a computer system, said instruction sequence comprising at least one synchronization instruction, said method
15 comprising the steps of:

- (a) receiving said synchronization instruction;
- (b) fetching a second instruction;
- (c) initiating execution of a null instruction in place of said synchronization instruction under a first set
20 of predetermine conditions.

7. The method as recited by Claim 6 further comprising the step of initiating execution of said

synchronization instruction under a second set of predetermined conditions.

8. The method as recited by Claim 6 wherein said
5 first set of predetermined conditions comprises determining said second instruction is one of a predetermined set of instructions.

9. The method as recited by Claim 8 wherein said
10 predetermined set of instructions comprises floating point instructions with routine synchronization in an instruction set of said computer system.

10. The method as recited by Claim 8 wherein said
15 first set of predetermined conditions further comprising said second instruction was successfully fetched.

11. The method as recited by Claim 7 wherein said
20 second set of predetermined conditions comprises determining said second instruction was not successfully fetched or said second instruction is not one of a predetermined set of instruction.

12. In a computer system comprising a general purpose processing unit and a special purpose processing unit under common control, said computer system executing an instruction sequence, a method comprising the steps of:

5 a) encountering a synchronization instruction in said instruction sequence;

 b) removing said sychronization instruction from said instruction sequence prior to the execution of said synchronization instruction.

10

13. The method as recited by Claim 12 wherein said step (a) further comprises the step of determining if a second instruction, immediately subsequent to said synchronization instruction is one of a predetermined set of instructions and executing said step (b) if said second instruction is one of said predetemined set of instructions.

14. The method as recited by Claim 13 wherein said
20 synchronization instruction comprises an instruction for causing said general purpose processor to enter a wait state.

15. The method as recited by Claim 14 wherein a null instruction is executed in place of said synchronization instruction.

5 16. The method as recited by Claim 15 wherein said null instruction requires one clock cycle for execution.

17. The method as recited by Claim 16 wherein said synchronization instruction is a WAIT instruction .

18. A method for processing an instruction squence in a computer system substantially as hereinbefore described and illustrated io the accompanying drawings.